

Winnerless Competition Principle in Individual Neurons and Cognitive Networks

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Abstract

Winnerless competition is a theoretical model used to study cognitive and attention-based processes using the sequential switching between metastable states of a neurodynamical system. This competition is observed in both physical neural networks and in higher-ordered cognition. I demonstrate this principle in a network of three interconnected Fitzhugh-Nagumo neurons and in the working memory model, a more abstract cognitive processes using competitive Lotka-Volterra dynamics.

1 Introduction

1.1 Background

Neural systems provide an example of a highly integrated network able to adapt to external stimuli, respond quickly and accurately to external information, and decide future courses of action. One of the main goals of studying these systems is to understand the link between the brain itself and how it is projected to cognition. Consequently, one of the major questions is: what are the mechanisms that transform the extremely complex, noisy, and many-dimensional brain activity into low-dimensional and predictable cognitive behavior?

It is important to understand the difference between brain dynamics and cognition (mind dynamics). Brain dynamics involves physical spatial-based regions of the brain and the neurons that compose them. Because neurons are often noisy due to intrinsic ion fluctuations and synaptic-based connections, the dynamical equations that model these processes involve noise as well. Cognition, on the other hand, is a noiseless process that is the result of the spatio-temporal organization of global brain activity. One main goal is to answer the following: what is the dynamical process by which the brain is able to suppress both intrinsic and stimuli-based noise to proceed with cognitive processes in a noiseless fashion? For example, suppose one is skiing and sees a tree. How is it that the brain is able to ignore noisy stimuli (cold temperature, falling snow, etc.) and very quickly focus attention onto the specific task at hand: avoiding the tree?

We therefore provide an insight into this process using a principle known as winnerless competition, a sequential switching among metastable states of neuronal systems.

1.2 Winnerless Competition Principle

The state space of the model is formed from a finite number of variables which are functions of time. Each variable constitutes a different cognitive modality. The mathematical image for

42 transient information processes is that of a chain of metastable states represented by saddle
 43 fixed points in phase space connected by a one-dimensional unstable separatrix. Under
 44 specific conditions, the trajectories that enter the vicinity of the chain become trapped and
 45 cannot leave. This topological structure is known as the Stable Heteroclinic Channel [1].

46 Focusing attention requires inhibitory networks in the brain to help block incoming stimuli
 47 that are unrelated to a specific task. Multiple regions of the brain form these networks [2]-
 48 [4], and as such, I investigate the dynamical mechanism behind different brain structures
 49 participating in cooperative and competitive activity. The competitive dynamics, which leads
 50 to a model to describe attentional modes, can be modeled with the simplest Lotka-Volterra
 51 type equations to characterize dynamical behavior. We also demonstrate this principle with a
 52 Fitzhugh-Nagumo network model of three neurons connected in sequence.

53

54 **2 The Models**

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56 **2.1 Fitzhugh-Nagumo**

57 The Fitzhugh-Nagumo model [5] is a simplification of the Hodgkin-Huxley model which
 58 retains the features required to ensure basic neuronal behavior, namely switching between
 59 spiking bursts and resting states. Let us call x_i the membrane potential of the i^{th} neuron. The
 60 membrane voltage thus follows the differential equation:

$$61 \quad \frac{dx_i}{dt} = \frac{1}{\tau_1} (x_i - \frac{1}{3} x_i^3 - y_i + S_i)$$

62 S_i is the input current corresponding to an external stimuli and may be split into two parts:
 63 one part which is the same for each neuron of the system (a baseline excitatory current), and
 64 a part which is specific to the i^{th} neuron from information input to the system. The second
 65 variable necessary to display spiking is denoted as y_i and is governed by the following
 66 equation:

$$67 \quad \frac{dy_i}{dt} = x_i - by_i + a$$

68 Here, a and b are parameters between 0 and 1. Because the switching from bursting to
 69 resting state and back is due to neural inhibition between neurons, we introduced one more
 70 variable to account for inhibitory connections among the neurons in the system, obeying the
 71 differential equation:

$$72 \quad \frac{dz_i}{dt} = \frac{1}{\tau_2} (\sum_j g_{ji} G(x_j) - z_i)$$

73 Here, j refers to the other neurons in the system and g_{ji} is the strength of synaptic inhibition
 74 of the neuron i by the neuron j . The simplest choice for G is a step function given by:

$$75 \quad G(x) = \begin{cases} 0, & x \leq 0 \\ 1, & x > 0 \end{cases}$$

76 Finally, we add a $-z_i(x_i - v_{\min})$ term to the first equation to force the membrane potential into
 77 a constant resting state of v_{\min} (<0) whenever the inhibitory variable takes large values due to
 78 other neurons' spiking activities. Our final membrane potential equation becomes:

$$79 \quad \frac{dx_i}{dt} = \frac{1}{\tau_1} (x_i - \frac{1}{3} x_i^3 - y_i + S_i - z_i(x_i - v_{\min}))$$

80 The following initial conditions and parameters were used:

81

$$\begin{cases} x_o = -1.20 \\ y_o = -0.62 \\ z_o = 0.00 \end{cases}$$

82

$$\begin{cases} \tau_1 = 0.08 \\ v_{\min} = -1.5 \\ S = 0.35 \\ a = 0.7 \\ b = 0.8 \\ \tau_2 = 3.1 \\ g = 1 \end{cases}$$

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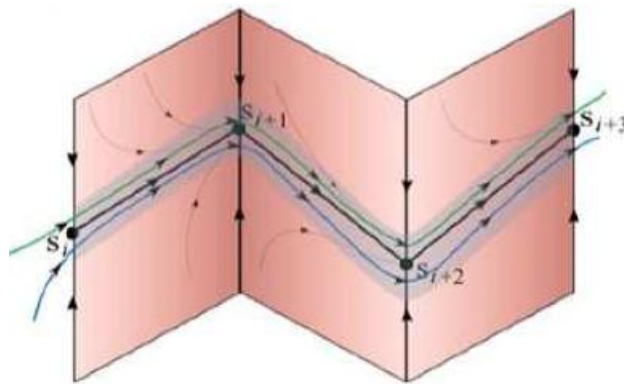
85 **2.2 Lotka-Volterra Model**

86 In general, the intrinsic dynamics of these neurons are governed by many variables
87 corresponding to ion channels and intracellular processes. Because we are only interested in
88 the neural coding, we can simplify the model to study the activity level of neuron clusters
89 using square-nonlinear competitive Lotka-Volterra dynamics:

$$90 \quad \frac{da}{dt} = \text{diag}(a_1(t), \dots, a_n(t)) [b - Pa]$$

91 Here, each a_i is the variable that measures the activity level, b is a vector describing the
92 excitation rate in the activity level of the neuron cluster, and P is an n -dimensional matrix
93 that measures the strength of inhibition of the neurons among the clusters. Moreover, the
94 trajectories tell us the behavior of sequential switching among neural clusters. This model
95 has been used to study a wide range of cognitive processes, including working memory and
96 attention-based dynamics [6].

97 Here, we use the example of working-memory to illustrate this concept. Suppose one was
98 interested in memorizing a phone number. How does one go about this task? There are two
99 possible routes: memorize each digit individually or "chunk" the number into sections. In
100 either case, each bit of information takes up some cognitive resource, namely attention. The
101 mathematical image of this is a chain of meta-stable states represented by saddle fixed points
102 (Figure 1). Here, each saddle fixed point represents a chunk of information in short-term
103 memory.



104

105 Figure 1. Sequence of meta-stable states in phase space with four saddle points and the one-
106 dimensional unstable separatrix which connects them. Trajectories in the neighborhood of
107 this chain stay within the vicinity of it showing the stability and robustness to noise.

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109 It is easy to show that the origin is an unstable fixed point of the model. Furthermore, for a
110 sequence of variables a_1, \dots, a_n it is easy to show using linearization that the fixed point $a_k^* =$
111 $(0, 0, \dots, b_k, 0, \dots, 0)$ has eigenvalues (with normalization of self-inhibition parameters to 1):

112
$$\lambda_{k,k+1} = b_{k+1} - p_{k+1,k} b_k \quad \text{and} \quad \lambda_{k-1,k} = b_k - p_{k,k-1} b_{k-1}$$

113 where $\lambda_{k,k+1}$ is the eigenvalue associated with the k^{th} saddle point to the $k+1$ saddle point
114 direction. Furthermore, it has been proven [7] that an n -dimensional competitive Lotka-
115 olterra model always converges onto an $n-1$ dimensional topological structure known as a
116 carrying simplex. Thus to study the long-term behavior of the neuron model, we need to only
117 look at what happens to the trajectories on the simplex.

118 Finally, although cognition is noiseless, brain structures associated with sequential switching
119 cognitive processes are not, and these structures can be modeled with the same Lotka-
120 Volterra dynamics [8]. Thus, we subject the model to noise to understand the dynamical
121 behavior of the model. Namely, we look at the following equation where the inhibition
122 parameters are perturbed by a Gaussian white noise with a matrix σ controlling the scaling
123 size of the noise:

124
$$\frac{da}{dt} = \text{diag}(a_1(t), \dots, a_n(t)) [b - Pa + \sigma adW(t)]$$

125 In fact, a recent theorem [9] show the following bound on the upper growth rate of the
126 activity levels: Suppose $\sigma_{ii} > 0$ and $\sigma_{ij} \geq 0$ for all i, j . Then for any $b \in \mathbb{R}^n$, $P \in \mathbb{R}^{n \times n}$, and $a_0(t)$
127 $\in \mathbb{R}^+$:

128
$$\limsup \frac{\ln \sum_{i=1}^n a_i(t)}{\ln t} \leq 1$$

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130 Thus, the long-term activity levels of these structures cannot grow faster in time than a
131 linear relationship.
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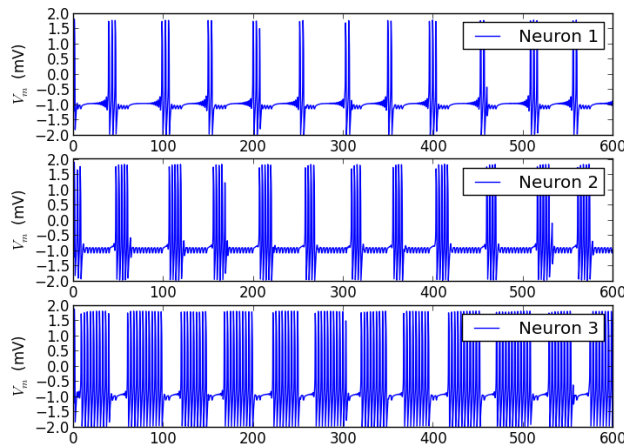
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134 3 Results

135 Here we show simulations of both networks described above, both in the presence and
136 absence of noise.

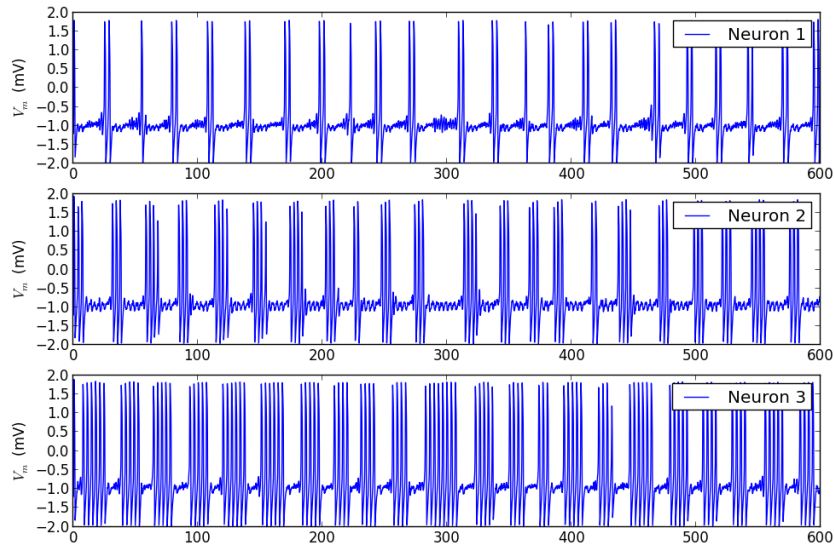
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138 3.1 Fitzhugh-Nagumo Network



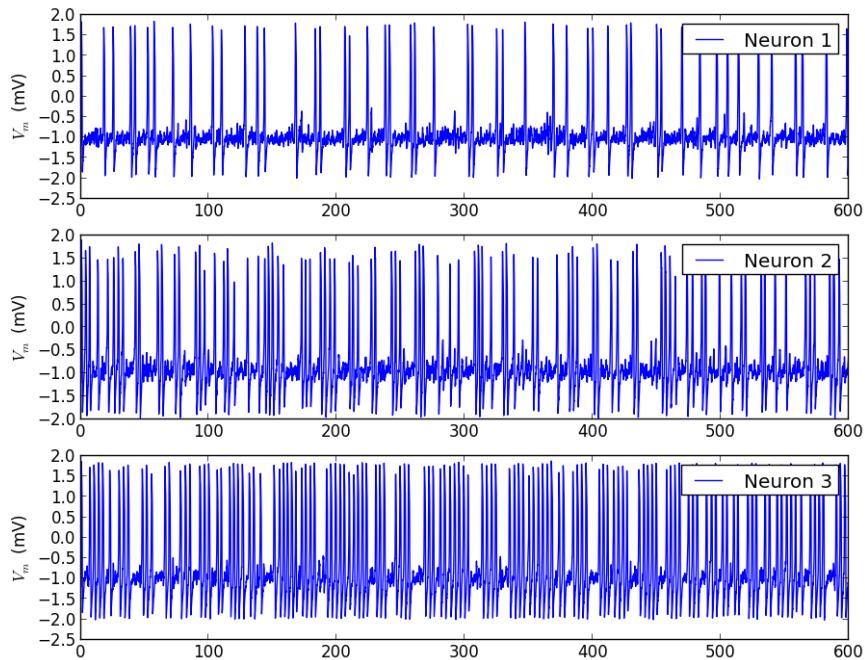
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140 Figure 1a. Three Fitzhugh-Nagumo neurons connected in sequence without noise.



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142 Figure 1b. Three Fitzhugh-Nagumo neurons connected in sequence with medium-low noise
 143 (white noise variance = 0.2)



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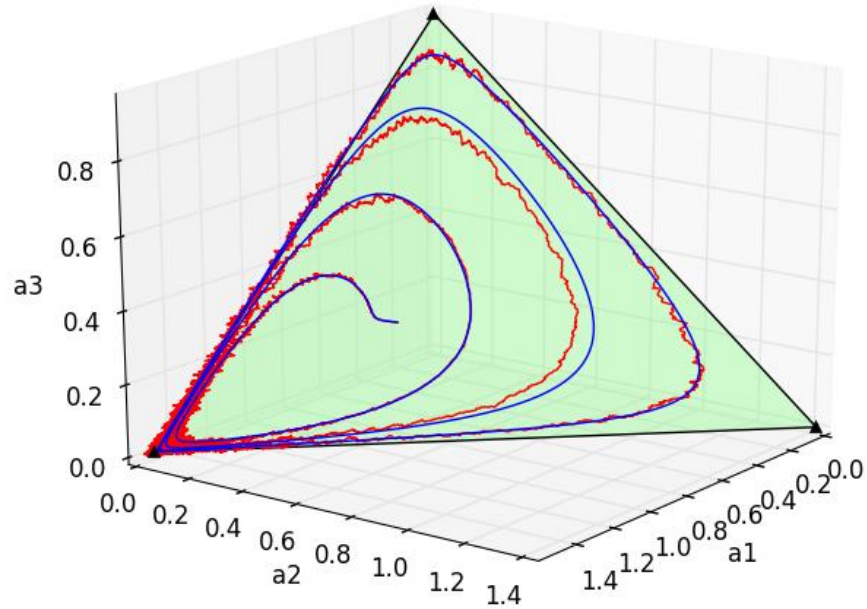
145 Figure 1c. Three Fitzhugh-Nagumo neurons connected in sequence with high noise (white
 146 noise variance = 0.7)
 147

148 In the absence of noise, the three neurons switch between bursting states and resting states in
 149 a sequential order. In the presence of small enough noise, the neurons still continue to switch
 150 on and off in a winnerless competition fashion. However, increasing the noise appears to

151 destroy the sequential switching. Thus, one may ask how large noise can be before
152 sequential switching is gone? This question remains unanswered.

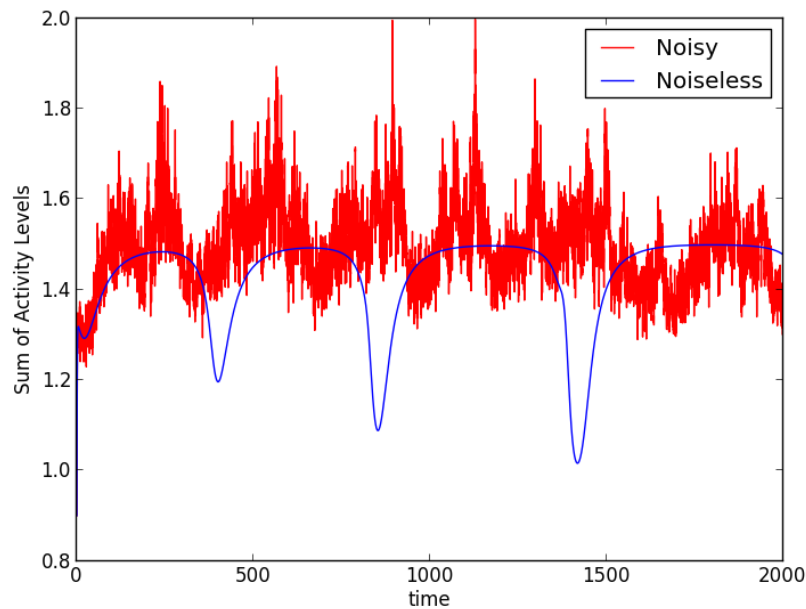
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3.2 Mesoscale Cognitive Networks



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Figure 2. Trajectories of three-dimensional competitive Lotka-Volterra equations without noise (blue trajectory) and with Gaussian white noise (red trajectory). The green triangle is the two-dimensional carrying simplex where all trajectories regardless of initial conditions converge onto.



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Figure 3. Plot of the sum of the activity levels over time to demonstrate the previously-listed supremum theorem and the maximum growth rate the activity levels can climb.

164 In the example shown above in Figure 2, Gaussian white noise still causes the trajectory to
165 follow the general pattern as the unperturbed trajectory. Physically, this suggests that brain
166 structures that are compete in winnerless competition and subjected to white noise still obey
167 sequential switching as expected. Figure 3 (and the corresponding theorem) show that white
168 noise does not cause any explosion in the activity levels of the brain structures. Thus, with
169 regards to white noise, we have both analytical proof and numerical simulation that show
170 how the corresponding activity levels of brain structures and neurons within them behave.
171 What remains to be seen is how these structures behave when subjected to colored noise,
172 whether or not sequential switching still occurs, and what the limit on the growth rate of the
173 activity level is.

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177 **References**

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